

# The Sensitivity Conjecture

$$f: \{0,1\}^n \rightarrow \{0,1\} \quad x \in \{0,1\}^n$$

$$x^{(i)} = x_1, \dots, 1-x_i, \dots, x_n$$

Coordinate  $i$  is sensitive (for  $x$ ) if  $f(x) \neq f(x^{(i)})$

$$S_x(f) = |\{i \in [n] : i \text{ is sensitive}\}|$$

$$S(f) = \max_x S_x(f)$$

By definition,  $S(f) \leq bs(f)$  (consider blocks of size 1)

The Sensitivity Conjecture: there exists  $C$ , s.t.  $bs(f) = O(S(f)^C)$

$$i \in [n] \quad B \subset [n]$$

$$(x^{(B)})_i = \begin{cases} 1-x_i & i \in B \\ x_i & i \notin B \end{cases}$$

$B$  is a sensitive block (for  $x$ ) if  $f(x) \neq f(x^{(B)})$

$$bs_x(f) = \max \left\{ a : \begin{array}{l} \text{exist } B_1, \dots, B_a \\ \text{pairwise disjoint} \\ \text{sensitive blocks} \end{array} \right\}$$

$$bs(f) = \max_x bs_x(f)$$



# Basic Examples of boolean functions

~~Any~~  $x \in \{0,1\}^n$

$|x|$  is the number of 1's.

$$\text{AND}_n(x) = 1 \quad \text{if} \quad |x| = n$$

$$s = b_s = n$$

$$\text{OR}_n(x) = 1 \quad \text{if} \quad |x| \geq 1$$

$$s = b_s = n$$

$$\text{MAJ}_n(x) = 1 \quad \text{if} \quad |x| \geq \frac{n}{2}$$

$$s = b_s = \lceil \frac{n}{2} \rceil$$

$$\text{PAR}_n(x) = 1 \quad \text{if} \quad |x| \text{ is odd}$$

$$s = b_s = n$$



## More Complexity Measures

A certificate is an assignment to a subset of  $\{x_1, \dots, x_n\}$ , that defines uniquely the value of  $f(x)$ .

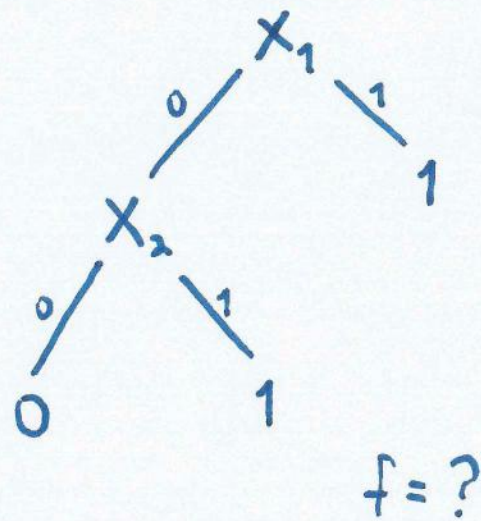
$C_x(f)$  = size of minimal certificate agreeing with  $x$ .

$$C(f) = \max_x C_x(f)$$

A decision tree describes an algorithm for evaluating  $f$ . At each step, one bit of  $x$  is read. The depth is the number of bits read in the worst case.

$D(f)$  is the minimal possible depth of a decision tree for  $f$ .

$C(f) \leq D(f)$ . since once the algorithm terminates, the read bits form a certificate.





## Gaps

$$s \leq bs \leq C \leq D$$

$$bs_x(f) \leq C_x(f)$$

since blocks are disjoint and each one intersects the certificate.

$$D \leq C^2$$

$$C \leq s \cdot bs \leq bs^2$$



# The Degree of a Boolean Function

Claim: Every function  $f: \{0,1\}^n \rightarrow \mathbb{R}$  is uniquely represented as a multilinear real polynomial.

proof: for fixed  $y \in \{0,1\}^n$ ,  $\prod_{i \in [n]} \begin{pmatrix} 1-x_i & y_i=0 \\ x_i & y_i=1 \end{pmatrix} = f(x) := \begin{cases} 1 & x=y \\ 0 & x \neq y \end{cases}$

$$\dim \left\{ \begin{array}{l} \text{multilinear polynomials} \\ \text{of } x_1, \dots, x_n \end{array} \right\} = 2^n = \dim \left\{ \begin{array}{l} \text{functions} \\ f: \{0,1\}^n \rightarrow \mathbb{R} \end{array} \right\}$$

$\deg(f)$  is the degree of  $f$  as a multilinear polynomial.

Proof of the sensitivity conjecture:

$$\deg(f) \geq \sqrt{\frac{bs(f)}{2}}$$

~~Nisan~~ Nisan, Szegedy (1992)

$$S(f) \geq \sqrt{\deg f}$$

Hao Huang (2019)

using equivalence by Gotsman and Linial (1992)



## Equivalence by Gotsman and Linial

Change convention:  $f: \{-1, +1\}^n \rightarrow \{-1, +1\}$ .  $\deg(f)$  remains the same.

Question: given  $\deg f$ , how low can  $s(f)$  be?

WLOG assume  $\deg(f) = k$  and ~~maximal~~  $x_1 \cdots x_k$  a maxinomial.  
substitute  $x_{k+1} = \dots = x_n = 1$ .

$\deg(f)$  is unchanged,  $s(f)$  not increased  $\Rightarrow$  WLOG, assume  $\deg(f) = n$

~~Take~~ Take  $g(x_1, \dots, x_n) := x_1 \cdots x_n \cdot f(x_1, \dots, x_n)$

$$\deg(f) = n \Leftrightarrow g(0, \dots, 0) \neq 0 \Leftrightarrow \sum_{x \in \{-1, +1\}^n} g(x) \neq 0$$

$Q_n$  is the hypercube <sup>graph</sup> with vertices  $\{-1, +1\}^n$ .

Let  $S := \{x \in \{-1, +1\}^n : g(x) = 1\}$ . Let  $G := Q_n[S] \cup Q_n[S^c]$ .

$$S_x(f) = n - S_x(g) = d_G(x)$$

$$s(f) = \Delta(G)$$

Question:  $|S| \neq 2^{n-1}$  how low can  $\Delta(Q_n[S] \cup Q_n[S^c])$  be?



$Q_n$  is the hypercube graph.  $V(Q_n) = \{0,1\}^n$

Theorem (Hao Huang, 2019):

Assume  $S \subseteq \{0,1\}^n$  and  $2^{n-1} + 1 \leq |S|$ . Then  $\Delta(Q_n[S]) \geq \sqrt{n}$

proof:

$$A_0 = [0]$$

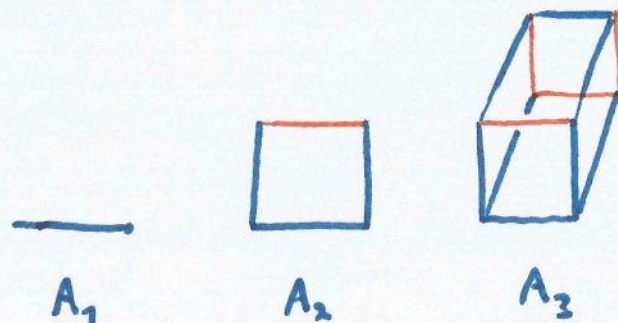
$$A_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$A_2 = \begin{matrix} & \begin{matrix} 00 & 01 & 10 & 11 \end{matrix} \\ \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & -1 \\ 0 & 1 & -1 & 0 \end{bmatrix} & \dots \end{matrix}$$

$$A_n = \begin{bmatrix} A_{n-1} & I_{2^{n-1}} \\ I_{2^{n-1}} & -A_{n-1} \end{bmatrix}$$

Identify  $\{0,1\}^n$  with  $\{0, \dots, 2^n - 1\}$  by binary representation.

$$\text{Then: } (A_n)_{xy} = \begin{cases} \pm 1 & xy \in E(Q_n) \\ 0 & \text{o/w} \end{cases}$$





$$(A_n)^2 = nI_{2^n}$$

calculation:

$$\begin{bmatrix} A_{n-1} & I \\ I & -A_{n-1} \end{bmatrix}$$

$$\begin{bmatrix} A_{n-1} & I \\ I & -A_{n-1} \end{bmatrix} \begin{bmatrix} (A_{n-1})^2 + I & A_{n-1} - A_{n-1} \\ A_{n-1} - A_{n-1} & (A_{n-1})^2 + I \end{bmatrix}$$

so by induction,  $(A_n)^2 = \begin{bmatrix} (n-1)I + I & 0 \\ 0 & (n-1)I + I \end{bmatrix}$

All eigenvalues are  $\pm\sqrt{n}$ .  $\text{tr}(A_n) = 0$ .  $A_n$  is symmetric.

Hence  $A_n$  has diagonal form:  $\begin{bmatrix} \sqrt{n}I_{2^{n-1}} & 0 \\ 0 & -\sqrt{n}I_{2^{n-1}} \end{bmatrix}$ .

Let  $U = \{v \in \mathbb{R}^{2^n} : v_x = 0 \text{ for all } x \notin S\}$

$\dim U \geq 2^{n-1} + 1$ , eigenspace of  $\sqrt{n}$  has dim.  $2^{n-1}$ .

so let  $v \in U$  with  $A_n v = -\sqrt{n}v$  and  $v \neq 0$ .

Let  $x$  maximize  $|v_x|$ . (so  $x \in S$ )

$$|(A_n v)_x| = \left| \sum_{y \in \{0,1\}^n} (A_n)_{xy} v_y \right| \leq \sum_{y \in \{0,1\}^n} |(A_n)_{xy}| \cdot |v_y| = \sum_{\substack{y \in S \\ xy \in E(Q_n)}} |v_y| \leq d_{Q_n(S)}(x) \cdot |v_x|$$

$$|(A_n v)_x| = |-\sqrt{n}v_x| = \sqrt{n}|v_x|$$

Conclusion:

$$\sqrt{n} \leq d_{Q_n(S)}(x) \leq \Delta Q_n(S)$$



## Cauchy's Interlace Theorem

Let  $A$  be an  $n \times n$  matrix and let  $B$  be an  $m \times m$  principal submatrix.

Let  $\lambda_1 \geq \dots \geq \lambda_n$  be the eigenvalues of  $A$  and  $\mu_1 \geq \dots \geq \mu_m$  the eigenvalues of  $B$ .

Then for  $1 \leq i \leq m$ ,  $\lambda_i \geq \mu_i \geq \lambda_{i+n-m}$ .

In other words, the  $i$ 'th largest eigenvalue of  $B$  is at most the  $i$ 'th largest eigenvalue of  $A$ , and the  $i$ 'th smallest eigenvalue of  $B$  is at least the  $i$ 'th smallest eigenvalue of  $A$ .



# Sketch of proof for $\deg(f) \geq \sqrt{\frac{\log(f)}{2}}$ by Nisan and Szegedy

Consider the symmetrization of the polynomial  $f$ .

$$f^{\text{sym}}(x_1, \dots, x_n) = \frac{1}{n!} \sum_{\pi \in S_n} f(x_{\pi(1)}, \dots, x_{\pi(n)})$$

$f^{\text{sym}}$  is a sum of elementary symmetric polynomials:

$$S_k = \sum_{\substack{A \subseteq [n] \\ |A|=k}} \prod_{i \in A} x_i = \binom{x_1 + \dots + x_n}{k} \quad \text{for } x \text{ in } \{0, 1\}^n$$

denote  $z = x_1 + \dots + x_n$ .

$$S_k(x_1 + \dots + x_n) = p_k(z) = \frac{1}{k!} z(z-1) \dots (z-k+1)$$

so there is  $p$ , with  $p(z) = f^{\text{sym}}(x)$  and  $\deg(p) \leq \deg(f)$

Assume  $f(x) = 0$  for  $z = 0$   
and  $f(x) = 1$  for  $z = 1$



$p(0) = 0$ ,  $p(1) = 1$ ,  $0 \leq p(z) \leq 1$  for ~~integers~~  
 $z = 0, 1, \dots, n$

By a result from Approximation Theory (The Markov brothers' inequality)

conclude  $\deg(p) \geq \sqrt{\frac{n}{2}}$ .

for general  $f$  with  $bs(f) = k$ . Assume wlog  $x = (0, \dots, 0)$ ,  $f(x) = 0$ ,  
and  $B_1, \dots, B_k$  disjoint sensitive blocks.

define  $g(x_1, \dots, x_k) = f(y)$  with ~~where~~  $y_i = x_j$  if  $i \in B_j$   
for some  $j$ , and 0 otherwise.



## Example: "AND of ORs"

Let  $n = k^2$ .  $f$  accepts a boolean  $k \times k$  matrix  $x$ .

A row of  $x$  is "good" if it has at least one 1.

$f(x)$  is 1 if ~~has at least one good row.~~  
all the rows of  $x$  are good.

$$f(x) = \text{AND}_{i \in [k]} \left( \text{OR}_{j \in [k]} (x_{ij}) \right)$$

$$s(f) = \sqrt{n}$$

$$f(x) = \prod_{i \in [k]} \left( 1 - \prod_{j \in [k]} (1 - x_{ij}) \right) \Rightarrow \text{deg}(f) = n$$

$$s(f) = \sqrt{\text{deg}(f)}$$



## Example: "Address function"

$$n = k + 2^k.$$

Interpret  $x_1, \dots, x_k$  as a number between 0 and  $2^k - 1$ .

$$\text{Define } f(x) = X_{k+1+x_1, \dots, x_k}$$

example:

$$f(\overset{2}{1}00110) = 1$$

$$S(f) = k+1 \sim \log n$$

$$D(f) = k+1$$



## Example "Pairs of 1's"

Let  $n = 4k^2$ .  $f$  accepts a  $2k \times 2k$  boolean matrix,  $x$ .

A row of  $x$  is "good" if it has exactly two 1's, and they are consecutive.

$f(x) = 1$  if  $x$  has a good row.

$$s(f) = 8k = 2\sqrt{n}$$

$$bs(f) = \frac{n}{2}$$

for  $x$  the all-0 matrix,  
consider the set of sensitive blocks:

$$\left\{ \{(i, j), (i, j+1)\} \mid \begin{array}{l} i \in [2k] \\ j \in 2[k]-1 \end{array} \right\}$$